

Compliance Training for Bank Boards

An Easy to Deliver Program for the Compliance Officer

Your Directors are responsible for establishing policies and monitoring operations to ensure the bank complies with laws and regulations. And they have annual compliance training requirements to demonstrate they understand the regulatory frame work under which the bank operates in order to provide adequate oversight.

ABA's **Compliance Training for Bank Boards** is designed specifically for compliance officers to deliver an effective bank director training program. By using Board-ready PowerPoint presentations, customizable handouts and step-by-step directions for conducting each training session, you can train your Board before the bank's next regulatory exam and make sure your training program meets examination requirements.

Compliance Training for Bank Boards provides the materials you need to easily deliver 30 minute training sessions at upcoming Board meetings.

Compliance Training Topics:

- Effective Compliance Program Management
- BSA/AML Training for the Board of Directors
- Insiders and Reg O
- Preparing for the Exam – What You Need to Know – A Director's Perspective

With Compliance Training for Bank Boards, you will be able to:

- Educate your Board on their role in providing adequate oversight
- Summarize and cover key components of regulations in 30 minute sessions
- Train and brief your Board before your bank's next regulatory exam
- Educate the Board on new and revised rules that affect bank operations
- Ensure your bank directors' training meets examination requirements

Compliance Training for Bank Boards also includes a self paced course for the compliance officer with guidelines and instructions to effectively prepare to deliver the board training sessions. This includes suggestions for building credibility and partnering with the Board in order to gain compliance support for business initiatives.

Pricing: \$395 ABA Members
\$595 Non Members

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Effective Compliance Program Management

Effective Compliance Program Management introduces the principles of compliance risk management and the Director's responsibility in providing oversight to effectively manage the bank's compliance program. This training session addresses the key concepts of risk management and the processes for identifying, controlling, measuring, prioritizing, and monitoring regulatory and compliance risks.

BSA/AML Training for the Board of Directors

BSA/AML Training for the Board of Directors introduces the Board to BSA/AML responsibilities assigned to them, as documented in the FFIEC Exam Manual. The training session covers the Bank Secrecy Act and Office of Foreign Asset Control, and educates Directors on the consequences of non-compliance with BSA/AML and OFAC regulations.

The screenshot shows a web interface for "Compliance Training for Bank Boards" by the American Bankers Association. It features a navigation menu with options: "Effective Compliance Mgmt", "BSA/AML", "Insiders and Reg O", and "Preparing for the Exam". Below the menu, a text box states: "Each training session includes the following materials to prepare for and document your training sessions: A Board-ready PowerPoint presentation, a Leader's Guide in pdf format that steps you through the conduct of the training session, and Handouts -- 1-2 page summaries of the Director's responsibilities on the topic -- in MS Word. All materials can and should be customized for your bank." At the bottom, there are three buttons: "PowerPoint", "Leader's Guide", and "Handout".

Insiders and Reg O

Insiders and Reg O explains the basics of Regulation O and its restrictions and limitations. The training session also focuses on reporting and recordkeeping requirement expectations. Optimally, this session is held shortly before you need to collect annual information from your bank's Board members.

Preparing for the Exam: What You Need to Know— A Director's Perspective

Preparing for the Exam: What You Need to Know—A Director's Perspective enables Compliance officers to train bank Directors on their responsibilities to support the bank in meeting examination requirements. This training session includes communicating with the Board by sharing previous examination findings, and sharing an action plan with the Board that effectively describes each step of the examination.

ABA's extensive network of compliance practitioners and subject matter experts were involved in creating and reviewing the content of this unique program and in developing a training format that suits the learning styles of your Directors and can be easily incorporated into Board meetings.